BERRIDGE, PLC Telephone: (703) 836-6400 PATENT APPLICA

Attorney Docket No.: _111467

CUSTOMER NUMBER 25944

acsimile: (703) 836-2787

AMENDMENT TRANSMITTAL

In re the Application of

Kazunaga HORIUCHI et al.

Group Art Unit: 1754

Application No.: 10/014,560

Examiner:

S. Hendrickson

Filed:

December 14, 2001

For:

CARBON NANOTUBE STRUCTURES, CARBON NANOTUBE DEVICES USING THE SAME AND

METHOD FOR MANUFACTURING CARBON NANOTUBE STRUCTURES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

Entitlement to small entity status is hereby asserted.

Small entity status of this application has been established.

The filing fee has been calculated as shown below:

| | (Column 1) | (Column 2) | (Column 3) | |
|---|---|---------------------------------------|------------------|--|
| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | |
| TOTAL CLAIMS | *10 MINUS | **28 | = 0 | |
| INDEP CLAIMS | *4 MINUS | ***3 | = 1 | |
| ☐ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | |

| SMALL ENTITY | | | |
|-----------------|--------------|--|--|
| RATE | ADD'L FEE | | |
| x 9 | \$ | | |
| x 43 | \$ | | |
| +145 | \$ | | |
| | \$ | | |

| OTHER THAN A SMALL ENTITY | | | | |
|------------------------------|------|--------------|--|--|
| ΩR | RATE | ADD'L FEE | | |
| | x 18 | \$ | | |
| | x 86 | \$ 86.00 | | |
| ΩR | +290 | \$ | | |
| | | \$ 86.00 | | |

- If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

Check No. 156967 in the amount of \$86.00 is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

James A. Olik Registration No. 27,075

Melanie L. McCollum Registration No. 40,085

JAO:MLM/jam

Date: August 4, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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NANOTUBE STRUCTURES

<u>AMENDMENT</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In reply to the May 5, 2004 Office Action, please consider the following:

Amendments to the Claims as reflected in the listing of claims; and

Remarks.

08/05/2004 HLE333

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